



#11  
6-12-01  
RECEIVED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUN 11 2001  
TECH CENTER 1600/2900

IN RE APPLICATION OF: BRONK, ET AL.

APPLICATION NO.: 09/424,104

: Examiner: PESELEV, E.

FILING DATE: NOVEMBER 18, 1999

: Group Art Unit: 1623

TITLE: 4"-SUBSTITUTED-9-DEOXO-9A-AZA-:  
9A-HOMOERYTHROMYCIN A  
DERIVATIVES

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Declaration Pursuant to 37 CFR §1.132

I, Brian S. Bronk, am a citizen of the United States, residing at  
66 Partridge Hollow Road, Gales Ferry, Connecticut, U.S.A., and I declare as follows:

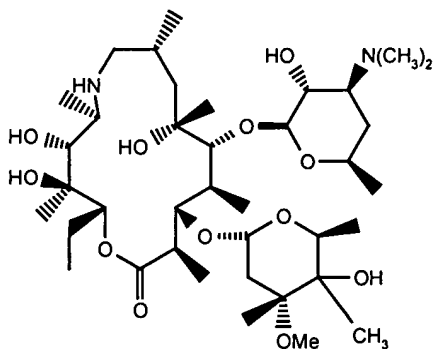
1. I am one of the above-identified co-inventors named in the subject  
application.

2. I obtained a Ph.D. degree in Chemistry from Massachusetts Institute of  
Technology, Massachusetts, U.S.A. I have been employed by Pfizer Inc. since October  
1994.

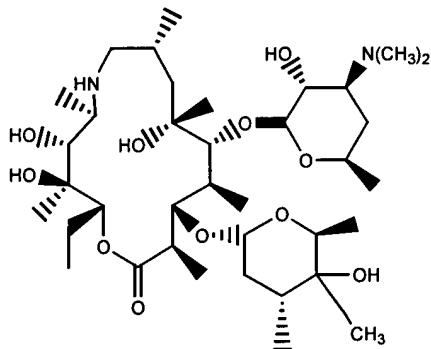
3. I have read the Office Action dated January 8, 2001 concerning the  
subject application.

4. I understand that this is being submitted to show the surprising  
antibacterial activity as illustrated by *in vivo* mouse PD50 data of the compounds of the  
present application.

5. Based on *in vivo* mouse PD50 data that was generated using the  
experimental protocol described in attached exhibit 1, a representative compound of the  
present invention, referred to herein as compound 1, showed surprisingly better  
antibacterial activity when compared to a compound containing the ring structure of  
Hauske that was modified with a 4" substituent described by Yang et al. These  
compounds and their PD50 values are shown below.



Representative compound 1 of the present invention  
Mouse PD50 = 28 mg/kg



Compound 2 (Hauske modified with Yang 4'' substituent)  
Mouse PD50 > 80 mg/kg

6. The above data show that substituting at least one of the 4'' substituents described by Yang et al into the ring structure of Hauske does not result in a compound (compound 2) exhibiting commercially acceptable antibacterial activity.

7. Since at least one of the 4'' substituents described by Yang et al. does not, when substituted into the ring structure described by Hauske, provide adequate antibacterial activity (as illustrated by *in vivo* mouse PD50 data), it would not be reasonable to expect, based on the descriptions of Yang et al and Hauske, that all or

any of the Yang et al substituents would, if substituted into the ring structure described by Hauske be expected to show antibacterial activity.

8. Further, it was therefore unpredictable at the time of the present invention as to which, if any, of the 4" substituents described by Yang et al, i.e., alkyl, alkenyl or phenyl groups, or a hydrogen and a specified amino derivative (column 2 lines 40 to 65 of Yang et al) would, if substituted into the ring structure described by Hauske, provide for compounds exhibiting antibacterial activity (as illustrated by *in vivo* mouse PD50 data).

9. The undersigned inventor declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

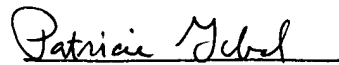
Signed:



Brian S. Bronk

Date:

June 6, 2001

  
Notary Public

**PATRICIA GABEL**  
**NOTARY PUBLIC**  
MY COMMISSION EXPIRES MAY 31, 2002